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# Comparative analysis of NBTI modeling frameworks BAT and Comphy☆



Aseer Israr Ansari\*, Nilotpal Choudhury, Narendra Parihar, Souvik Mahapatra

Department of Electrical Engineering, Indian Institute of Technology Bombay, Mumbai, 400076, Maharashtra, India

## ARTICLE INFO

ABSTRACT

The Compact-Physical (Comphy) framework is tested against the experimental Negative Bias Temperature Instability (NBTI) data. A cost-function based optimizer is used for obtaining the parameters of Comphy. The ultrafast (10  $\mu$ s delay) measured threshold voltage shift ( $\Delta V_T$ ) during and after NBTI stress at various stress ( $V_{GSTR}$ ) and recovery ( $V_{GREC}$ ) bias, temperature (T) and stress time ( $t_{STR}$ ), and mixed AC–DC stress using random  $V_{GSTR}$ ,  $V_{GREC}$ , pulse duty cycle (PCD) and frequency (f) are used to test the model framework. The BTI Analysis Tool (BAT) framework, for which data from previous work is used, and Comphy are compared.

# 1. Introduction

Keywords:

Comphy

BAT

NBTI modeling

NBTI continues as a dominant reliability issue in modern p-FETs [1– 5]. It causes positive charge buildup in the gate insulator of the device during stress, and shifts its parameters in time. The accrued charges partially reduce after the removal of stress, which results in recovery of parametric shift. Therefore, the modeling of NBTI becomes challenging, especially for gate pulses having arbitrary on (stress) and off (recovery) phases that mimic the data-paths in digital circuits, and for non-digital pulses encountered in analog and mixed-signal applications.

Although the physics of NBTI remains debated [6–8], there are two competing frameworks at present: BAT [1] and Comphy [9], the latter is publicly available in [10]. Both the frameworks have physics based models. In BAT, uncorrelated contributions from interface trap generation ( $\Delta V_{\rm IT}$ ), trapping of holes in pre-existing traps ( $\Delta V_{\rm HT}$ ), and generation of bulk gate insulator traps ( $\Delta V_{\rm OT}$ ) are used to model the  $\Delta V_{\rm T}$  time kinetics during and after stress (Fig. 1). On the other hand, Comphy uses uncorrelated contributions from the recoverable (R) and (semi) permanent (P) components. In modern p-FETs having High-K Metal Gate (HKMG) stacks, R is individually calculated for the interlayer (IL) and High-K layer (Fig. 2).

BAT is successfully validated by using diverse experimental conditions and across various technologies [1,11,12]. In this work, the Comphy framework is evaluated using basic DC stress-recovery and mixed DC-AC stress. The BAT results from [1] are shown as a comparative reference.

# **2. BAT**

In BAT (Fig. 1), the  $\Delta V_T$  time kinetics is obtained from three uncorrelated components, interface trap generation ( $\Delta V_{\rm IT}$ ), trapping of holes in pre-existing traps ( $\Delta V_{\rm HT}$ ), and generation of bulk gate insulator traps ( $\Delta V_{\rm OT}$ ). Reaction–Diffusion (RD) model together with Transient Trap Occupancy Model (TTOM) is used for  $\Delta V_{\rm IT}$ , Activated Barrier Double Well Thermionic (ABDWT) model for  $\Delta V_{\rm HT}$ , and Reaction–Diffusion–Drift (RDD) model for  $\Delta V_{\rm OT}$ , the model details and parameters are provided in various chapters of [1].

The RD model has a total of 17 parameters of which, only 4 are varied to match the measured data, TTOM has 8 parameters of which 2 are varied, ABDWT has 9 parameters of which 4 are varied and RDD has 16 parameters of which 4 are varied. In total, there are 14 variable parameters. For this work, the values of these parameters are taken from [1].

### 3. Comphy

In Comphy (Fig. 2), the  $\Delta V_T$  time kinetics is obtained from two uncorrelated components, the recoverable (R) and (semi) permanent (P) components. The recoverable component is handled by two Non-radiative Multi-Phonon (NMP) models, one for each layer of oxide, and the permanent component is handled by the Two Well Thermionic (TWT) model. The model details are provided in [9].

Each NMP model has total 6 parameters. The TWT model has total 7 parameters. This adds up to a total of 19 parameters. A Root Mean Square Error (RMSE) cost function based optimizer is used to

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<sup>\*</sup> Corresponding author.

*E-mail addresses:* aseerisrar@gmail.com (A.I. Ansari), nilotpal.nits@gmail.com (N. Choudhury), np.electro@gmail.com (N. Parihar), souvik@ee.iitb.ac.in (S. Mahapatra).



Fig. 1. Schematic of BAT Framework.



Fig. 2. Schematic of Comphy Framework.

fit Comphy simulation with measured data, and all 19 parameters are freely varied. Once a set of optimal parameters is obtained for a particular device, they are kept fixed to simulate different experimental conditions.

# 4. Experiments

# 4.1. RMG FinFET

Measurements from Replacement Metal Gate (RMG) HKMG p-Fin-FETS [11] fabricated using proprietary IBM SOI process are used in this paper. These devices have 20-nm channel length, the number of fins is 42 to reduce variability. The gate stack has the standard chemical oxide-based interlayer (IL), hafnium oxide-based high-k and low nitrogen (N) content in the IL, and has an equivalent oxide thickness of 1 nm.

The parameters of BAT for RMG FinFET are taken from [1]. The parameters for Comphy are obtained by fitting simulation results with the measured  $\Delta V_T$  time kinetics at different  $V_{GSTR}$  and T. The minimize function in the scipy library of python is used for obtaining the parameters. The RMSE cost function and Nelder–Mead method is used for optimization. The Comphy parameters are listed in Table 1.

Measured  $\Delta V_{\rm T}$  time kinetics (at fixed  $V_{\rm GSTR}$ , T) and fixed time  $\Delta V_{\rm T}$  versus  $V_{\rm GSTR}$  and *T* from RMG HKMG FinFETs are modeled respectively in Figs. 3 and 4 by BAT and Comphy, and the corresponding subcomponents are also shown. For BAT, during stress,  $\Delta V_{\rm HT}$  saturates early, while  $\Delta V_{\rm IT}$  and  $\Delta V_{\rm OT}$  evolve in time, respectively with long-time power-law slope of ~ 1/6 and ~ 1/4; after stress,  $\Delta V_{\rm HT}$  and  $\Delta V_{\rm OT}$  respectively recover fast and show negligible recovery, while  $\Delta V_{\rm IT}$  recovers over an extended period;  $\Delta V_{\rm IT}$  dominates overall  $\Delta V_{\rm T}$  (unless



**Fig. 3.** Measured (symbols) and modeled (lines)  $\Delta V_T$  time evolution at fixed  $V_{GSTR} = -1.7$  V and T = 100 °C during (a) stress and (b) subsequent recovery in RMG FinFET.



Fig. 4. Measured (symbols) and modeled (lines) fixed time  $\Delta V_T$  at  $t_{STR} = 1$  Ks, as a function of (a)  $V_{GSTR}$  at T = 100 °C, (b) T at  $V_{GSTR} = -1.5$  V. in RMG FinFET.



**Fig. 5.** Measured (symbols) and modeled (lines)  $\Delta V_T$  time evolution at fixed  $V_{GSTR} = -1.5$  V for different *T* during (a) stress and (b) subsequent recovery in RMG FinFET. Solid and Dashed lines are for Comphy and BAT respectively.

at high V<sub>GSTR</sub> and/or T). For Comphy, during stress, R evolves with a shallower time slope than P, while recovery is only due to R; equal contribution is made by R and P (unless at high *T* when P dominates). For the same device, measured and modeled  $\Delta V_T$  time kinetics during and after stress at fixed V<sub>GSTR</sub> and varying *T* (Fig. 5), and at fixed *T* and varying V<sub>GSTR</sub> (Fig. 6), and fixed time  $\Delta V_T$  versus V<sub>GSTR</sub> at various *T* (Fig. 7) are shown. These parameters are then used to model recovery kinetics at different t<sub>STR</sub> (Fig. 8), and different V<sub>GREC</sub> (Fig. 9). The figures are made in arbitrary units (a.u.) to maintain confidentiality of the data.

# 4.2. GF planar MOSFET

Measurements from Gate First (GF) HKMG planar p-MOSFETs [12] with ultrathin thermal interlayer (IL) are used. The device have IL of thickness 2.4 Å(N-based IL) and high-k of effective oxide thickness of 4.6 Å.

The parameters of BAT for GF Planar MOSFET are taken from [1]. For Comphy, two sets of (19) parameters are obtained, by fitting only  $\Delta V_T$  stress-recovery time kinetics (DC optimized, Fig. 10) and also considering DC multicycle data (Total optimized, Figs. 11 and



**Fig. 6.** Measured (symbols) and modeled (lines)  $\Delta V_T$  time evolution at fixed T = 100 °C for different V<sub>GSTR</sub> during (a) stress and (b) subsequent recovery in RMG FinFET devices. Solid and Dashed lines are for Comphy and BAT respectively.



Fig. 7. Fixed time  $\Delta V_T$  as a function of  $V_{GSTR}$ , in RMG FinFET. Solid and Dashed lines are for Comphy and BAT respectively.



Fig. 8.  $\Delta V_T$  time evolution with different  $t_{STR}$  in RMG FinFET. Solid and Dashed lines are for Comphy and BAT respectively.



Fig. 9. Measured (symbols) and modeled (lines)  $\Delta V_T$  time evolution at fixed  $V_{GSTR} = -1.5$  V and T = 100 °C but different  $V_{GREC}$  in RMG FinFET for (a)  $t_{STR} = 1000$  s, (b)  $t_{STR} = 0.1$  s. Solid and Dashed lines are for Comphy and BAT respectively.



Fig. 10. Measured (symbols) and modeled (lines)  $\Delta V_T$  time evolution during (a) stress and (b) subsequent recovery in GF planar MOSFET, with DC optimized parameters for Comphy. Solid and Dashed lines are for Comphy and BAT respectively.



Fig. 11. Measured (symbols) and modeled (lines)  $\Delta V_T$  time evolution during (a) stress and (b) subsequent recovery in GF planar MOSFET, with Total optimized parameters for Comphy. Solid and Dashed lines are for Comphy and BAT respectively.

Table 1

Comphy Parameters obtained from the optimizer fpr both the devices. NMP-1 is the NMP model in High-k, and NMP-2 is the NMP model in SiO2. Some parameters for RMG FinFET are hidden to maintain confidentiality of the data.

Model	Parameters	RMG FinFET	GF planar MOSFET	
			DC optimized	Total optimized
NMP-1	$\langle E_T \rangle$ (eV)	-1.18	-0.815	-0.826
	$\sigma_{\rm E_{\rm T}}$ (eV)	-	0.637	0.604
	$\langle S \rangle$ (eV)	9.83	2.81	3.22
	$\sigma_{\rm S}$ (eV)	1.62	1.32	1.18
	R	1.1	0.764	0.825
	$N_{T} \ (cm^{-3})$	-	$7.25\times10^{22}$	$8.79\times10^{22}$
NMP-2	$\langle E_T \rangle$ (eV)	-1.12	-0.931	-0.917
	$\sigma_{\rm E_{\rm T}}$ (eV)	-	0.09	0.08
	$\langle S \rangle$ (eV)	4.27	3.4	3.24
	$\sigma_{\rm S}$ (eV)	1.71	0.8	0.76
	R	1.56	1.58	1.62
	$N_{T}$ (cm <sup>-3</sup> )	-	$2.26 \times 10^{23}$	$2.26 \times 10^{23}$
TWT	$\langle \epsilon_1 \rangle$ (eV)	2.18	3.08	2.77
	$\sigma_{\epsilon_1}$ (eV)	-	0.73	0.81
	$\langle \epsilon_2 \rangle$ (eV)	2.36	3.44	3.37
	$\sigma_{e_{\gamma}}$ (eV)	0.467	0.25	0.24
	$k_0(s^{-1})$	$2.18 \times 10^{13}$	$8.03 \times 10^{10}$	$7.67 \times 10^{10}$
	$\gamma$ (eV m/V)	$6.56 \times 10^{-10}$	$1.7 \times 10^{-9}$	$1.58 \times 10^{-9}$
	$N_{T} (cm^{-2})$	-	2.66 ×10 <sup>17</sup>	$3.17 \times 10^{17}$

12(a)&(b)). The parameters are obtained in the same way as before, by using the minimize function of the scipy library in python with a RMSE cost function. The Nelder–Mead method is used for optimization. The Comphy parameters are listed in Table 1.

DC multicycle simulation (Fig. 12(a)&(b)) are shown for both sets of parameters. The Total optimized parameters are then used for simulation of mixed DC–AC in Figs. 12(c)–(f) and 13(a)–(b), and AC multicycle with different  $V_{GHIGH}$ , f and PDC in Fig. 13(c)–(f).





Fig. 12. Measured (symbols) and modeled (lines) of arbitrary  $\Delta V_T$  in GF planar MOSFET (a) & (b) multiple dc segments with different  $V_{\rm GSTR}$  but fixed  $t_{\rm STR}$ , (c) mixed ac-dc stress with inserted recovery after dc stress and fixed  $V_{\rm GSTR}$  and  $t_{\rm STR}$ , (d) mixed ac-dc stress with ac stress before dc stress and fixed  $V_{\rm GSTR}$ , (e) mixed ac-dc stress with a stress before dc stress and fixed  $V_{\rm GSTR}$ , (e) mixed ac-dc stress with a stress before dc stress and fixed  $v_{\rm GSTR}$ , (f) mixed ac-dc stress with inserted recovery after dc stress and varying  $V_{\rm GSTR}$ .

Fig. 13. Measured (symbols) and modeled (lines) of arbitrary  $\Delta V_T$  in GF planar MOSFET (a) mixed ac–dc stress with inserted recovery after dc stress with fixed  $V_{\rm GSTR}$  and varying  $t_{\rm STR}$ , (b) mixed ac–dc stress with ac stress before dc stress with varying  $V_{\rm GSTR}$  and  $t_{\rm STR}$  (c) multiple ac stress with varying  $V_{\rm GHIGH}$ , (d) multiple ac stress with varying frequency, (e) multiple ac stress with varying  $V_{\rm GHIGH}$  and frequency, (f) multiple ac stress with varying PDC.

### 5. Conclusion

BAT and Comphy can model simple time kinetics during and after NBTI stress at different  $V_{GSTR} \times T$ . However, Comphy faces some challenges in modeling recovery at different  $V_{GREC}$  (for analog applications), as well as arbitrary and mixed DC–AC gate waveforms (for digital data-path signals), although BAT can model the same. For GF Planar MOSFET, in order for the recovery to work, the stress end values are being underestimated which is not the case in BAT. This can be attributed to the TTOM model in BAT. The measured  $\Delta V_T$  drops quickly after the stress is removed in GF Planar MOSFET, Comphy could not model this behavior. Comphy needs to be further tested by using higher *f* AC stress experiments (like BAT [1]) for further verification.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

The data that has been used is confidential.

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