

Ab-Initio Calculations for Indium Migration in Silicon Substrate

Kwan-Sun Yoon, Chi-Ok Hwang*, and Taeyoung Won

Department of Electrical Engineering, National IT Research Center for Computational Electronics
Inha University, Incheon 402-751

*Computer Aided Molecular Design Research Center, Soongsil University
e-mail: yks@hsel.inha.ac.kr

INTRODUCTION

Recently, indium has been employed in the fabrication of retrograde p-tub and halo region for n-channel in CMOS. Since its heavier mass, indium is utilized as an alternative to boron in silicon substrate, in order to achieve shallower and steeper profiles required by ultra-large-scale integration technology. It is known that indium diffuses mainly through the interstitial-mediated mechanism during the thermal annealing process. Recently, Kinetic Monte Carlo (KMC) method has been widely employed for the modeling of thermal annealing process for nano-CMOS devices [1]. The input parameters of a migration event, one of the main events in thermal annealing, are given either from experiments or from *ab-initio* calculations.

In this work, we investigated the atom-scale characterizations and MEP of indium diffusion in silicon by *ab-initio* calculations and transition state theory tools.

NUMERICAL CALCULATIONS

First of all, we performed a defect structure calculation in a cubic super-cell, comprising 216 silicon atoms with a single neutral indium atom. In Fig. 1 is shown a schematic diagram illustrating the atomic structures comprising an indium atom in the silicon lattice. The *ab-initio* calculations were implemented within density functional theory (DFT) with VASP (Vienna *Ab-initio* Simulation Package) [2] which combines ultrasoft pseudopotentials and generalized gradient approximation (GGA) in the Perdew and Wang formulation.

Relative energy values of each defect configuration are shown in Table 1. The energy landscape, calculated by VASP for Si:In, indicates

that the lowest-energy structure ($\text{In}_s + \text{Si}_i^{\text{Td}}$) consists of indium sitting on a substitutional site stabilizing a silicon self-interstitial in a nearby tetrahedral position [Fig. 2(a)]. The second lowest-energy structure is In_i^{Td} , the interstitial indium in the tetrahedral position [Fig. 2(b)]. The energy difference between the two defect configurations is 0.43 eV.

In the prior investigation, we found that the initial state is $\text{In}_s + \text{Si}_i^{\text{Td}}$ while the final state is In_i^{Td} . Repeating the transitions between those two states, the neutral indium diffuses in silicon. Consequently, we can now obtain the energy barrier for indium migration if we investigate the MEP from the initial state to the final state.

In order to search for the MEP, we performed the climbing image nudged elastic band (CINEB) [3] calculation which is a kind of TST. Figure 3 is a diagram illustrating the calculated minimum energy path for indium via the CINEB method with four intermediate images. The initial intermediate images, denoted with triangles, are linearly interpolated between the initial and final images. The Migration energy is estimated as an energy difference to move from a local energy minimum state to another local minimum along the diffusion path. We find that the migration energy of In-interstitial defect is 0.79 eV in Fig. 3, which is in agreement with previous estimation [4].

CONCLUSION

In order to decide the migration energy for the diffusion of indium, it is essential to find out the migration path of the interstitial-mediated mechanism. *Ab-initio* study in this work comprises steps of performing the electronic structure relaxation and obtaining its total energy at the local

minimum. We could come up with the atomistic configurations and migration energy during indium diffusion in silicon, wherein we tried to find out saddle points from a minimum and reaction pathway between those two stable states by using TST. After we found the transition state, we tried to get the energy barrier for diffusing the particle through the calculation of the exact total energy at the transition state. These *ab-initio* results of indium diffusion in silicon are essential in obtaining an exact modeling of the experimental profiles.

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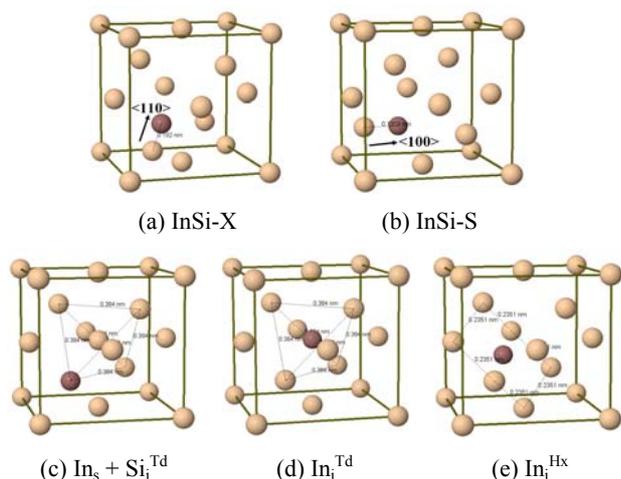


Fig. 1. Atomic structures illustrating the configurations of indium in silicon: Indium atom is depicted as dark-colored and silicon atoms are depicted as light colored for each configuration. The InSi-X consists of silicon self-interstitial with an indium atom sharing the same lattice site, with the Si-

indium dimer lying in the $\langle 110 \rangle$ direction. If the direction is $\langle 100 \rangle$ with the same configuration, the structure is referred to as InSi-S. The $\text{In}_s + \text{Si}_i^{\text{Td}}$ configuration means the case when indium atom sits on a substitutional site and stabilizes a silicon self-interstitial in a nearby tetrahedral position. The In_i^{Td} and In_i^{Hx} structures are the interstitial indium atom respectively in the tetrahedral position and in the hexagonal position.

Table 1. A table showing the calculated energies of the Si:In defect configuration: the listed energies are relative energies with reference to the ground-state configuration.

Configuration (In)	Relative energy [eV]
InSi-X	46.11
InSi-S	58.09
In_i^{Td}	0.43
$\text{In}_s + \text{Si}_i^{\text{Td}}$	0.00
In_i^{Hx}	1.63

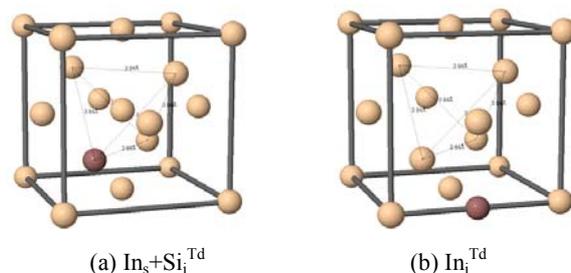


Fig. 2. Plots illustrating defect configurations: In atom (dark-colored), Si self-interstitial (light-colored) are shown over the underlying diamond lattice. The $\text{In}_s + \text{Si}_i^{\text{Td}}$ (a) and the interstitial In at the tetrahedral position, In_i^{Td} (b) are also shown.

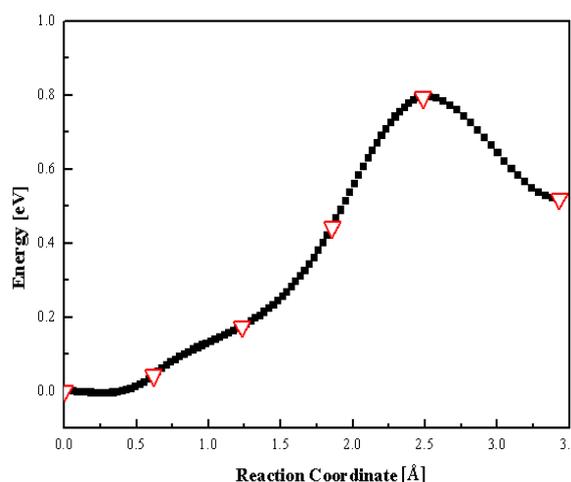


Fig. 3. The relative energy along the MEP of Si:In from $\text{In}_s + \text{Si}_i^{\text{Td}}$ to In_i^{Td} by the climbing image nudged elastic band method. The triangles indicate the simulation images and the squares are the interpolation by using the force parallel to the band.